MATHEMATICAL PROBLEMS OF NONLINEARITY

MSC 2010: 37D20

The Topological Classification of Diffeomorphisms of the Two-Dimensional Torus with an Orientable Attractor

V. Z. Grines, E. V. Kruglov, O. V. Pochinka

This paper is devoted to the topological classification of structurally stable diffeomorphisms of the two-dimensional torus whose nonwandering set consists of an orientable one-dimensional attractor and finitely many isolated source and saddle periodic points, under the assumption that the closure of the union of the stable manifolds of isolated periodic points consists of simple pairwise nonintersecting arcs. The classification of one-dimensional basis sets on surfaces has been exhaustively obtained in papers by V. Grines. He also obtained a classification of some classes of structurally stable diffeomorphisms of surfaces using combined algebra-geometric invariants. In this paper, we distinguish a class of diffeomorphisms that admit purely algebraic differentiating invariants.

Keywords: A-diffeomorphisms of a torus, topological classification, orientable attractor

Received November 30, 2020

Accepted December 14, 2020

This work was performed with support of the Laboratory of Dynamical Systems and Applications NRU HSE of the Ministry of science and higher education of the RF grant no 075-15-2019-1931.

Vyacheslav Z. Grines

vgrines@yandex.ru

Olga V. Pochinka

olga-pochinka@yandex.ru

National Research University Higher School of Economics
ul. B. Pecherskaya 25/12, Nizhny Novgorod, 603150 Russia

Evgeniy V. Kruglov

kruglov19@mail.ru

Lobachevsky State University of Nizhny Novgorod
prosp. Gagarina 23, Nizhny Novgorod, 603950 Russia

1. Introduction and main results

In this paper we study structurally stable diffeomorphisms f defined on the two-dimensional torus $\mathbb{T}^2$ and containing a one-dimensional orientable attractor Λ in the nonwandering set $NW(f)$. It follows from the results of V. Grines [4, 6] (see also the monograph [5]) that in this case

- $NW(f)$ does not contain orientable basic sets other than Λ ,
- the basic set Λ has only bunches of degree two that divide the boundary points into associated pairs $p_i, q_i, i \in \{1, \dots, k\}$,
- the induced isomorphism $f_*: \pi_1(\mathbb{T}^2) \rightarrow \pi_1(\mathbb{T}^2)$ is uniquely defined by the hyperbolic matrix $A_f \in GL(2, \mathbb{Z})$.

We will denote by $\hat{A}: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ the diffeomorphism given by the formula

$$\hat{A}(x, y) = (\alpha x + \beta y, \gamma x + \delta y) \pmod{1}$$

for any matrix $A = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in GL(2, \mathbb{Z})$.

According to J. Franks [1], there is a unique, isotopic to the identity, continuous map $h_f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ that semiconjugates the diffeomorphism f with the diffeomorphism $\hat{A}_f$. The image $h_f(\Lambda)$ of the set Λ is the whole torus $\mathbb{T}^2$, and the set $B_f = \{x \in \mathbb{T}^2: h_f^{-1}(x) \text{ consisting of more than one point}\}$ is the union of finitely many periodic points $P_f = \{\varrho_1, \varrho_2, \dots, \varrho_k\}$ of the diffeomorphisms $\hat{A}_f$ and their unstable manifolds. In this case, $h_f^{-1}(\varrho_i) \cap \Lambda, i \in \{1, 2, \dots, k\}$, consists of a pair of associated boundary points p_i, q_i of the basic set Λ .

Denote by G the class of structurally stable diffeomorphisms $f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ such that $NW(f)$ consists of an orientable one-dimensional attractor Λ and a finite number of isolated periodic points whose stable manifolds closure belongs to simple arcs $L_{p_i q_i}$ bounded by pairs of boundary points p_i, q_i (see Fig. 1). Then $h_f(L_{p_i q_i}) = \varrho_i$. Denote by n_{ϱ_i} the number of sources on the arc $L_{p_i q_i}$.

The following theorem is the main result of this paper.

Theorem 1. *The diffeomorphisms $f, f' \in G$ are topologically conjugate if and only if there exists a matrix $H \in GL(2, \mathbb{Z})$ such that $HA_f = A_{f'}H$, $\hat{H}(P_f) = P_{f'}$ and $n_{\varrho_i} = n_{\hat{H}(\varrho_i)}$, $i = 1, \dots, k$.*

Fig. 1. Isolated periodic points located on a simple arc L_{pq} .

2. Necessary definitions and facts

2.1. A-diffeomorphisms with an expanding attractor of codimension one

Let $\mathbb{M}^n$ be a closed smooth orientable manifold of dimension $n > 1$, $f: \mathbb{M}^n \rightarrow \mathbb{M}^n$ be a diffeomorphism and $NW(f)$ be its nonwandering set.

The diffeomorphism f is said to satisfy an axiom A (to be an A -diffeomorphism) if the set $NW(f)$ is hyperbolic and the periodic points are everywhere dense in $NW(f)$.

The following statement is called Smale's spectral decomposition theorem.

Proposition 1. *Let $f: \mathbb{M}^n \rightarrow \mathbb{M}^n$ be an A -diffeomorphism. Then:*

- 1) $NW(f)$ is uniquely represented as a finite union $NW(f) = \Lambda_1 \cup \dots \cup \Lambda_m$ of pairwise disjoint subsets of Λ_i , each of which is compact invariant and topologically transitive;
- 2) $\mathbb{M}^n = \bigcup_{i=1}^m W^s(\Lambda_i) = \bigcup_{i=1}^m W^u(\Lambda_i)$, where $W^s(\Lambda_i) = \{y \in \mathbb{M}^n: f^k(y) \rightarrow \Lambda_i, k \rightarrow +\infty\}$ and $W^u(\Lambda_i) = \{y \in \mathbb{M}^n: f^{-k}(y) \rightarrow \Lambda_i, k \rightarrow +\infty\}$.

The set Λ_i is called a *basic set*. Using $\dim \Lambda_i$, denote its topological dimension.

Let Λ be a basic set of an A -diffeomorphism f . The set Λ is called an *attractor* (*repeller*) if it has a closed neighborhood $U_\Lambda \subset \mathbb{M}^n$ such that $f(U_\Lambda) \subset \text{int } U_\Lambda$, $\bigcap_{k \in \mathbb{N}} f^k(U_\Lambda) = \Lambda$ ($f^{-1}(U_\Lambda) \subset \text{int } U_\Lambda$, $\bigcap_{k \in \mathbb{N}} f^{-k}(U_\Lambda) = \Lambda$). In this case, $\Lambda = \bigcup_{x \in \Lambda} W^u(x)$ ($\Lambda = \bigcup_{x \in \Lambda} W^s(x)$). If $\dim \Lambda = \dim W^u(x)$ ($\dim \Lambda = \dim W^s(x)$), then the attractor (repeller) Λ is called *expanding* (*contracting*).

Due to [8, Theorem 3], any basic set Λ of codimension one of an A -diffeomorphism $f: \mathbb{M}^n \rightarrow \mathbb{M}^n$ is either an attractor or a repeller. In this case Λ is called *orientable* if for any point $x \in \Lambda$ and any fixed numbers $\alpha > 0$, $\beta > 0$ the index of intersection of local manifolds $W_\alpha^s(x) \cap W_\beta^u(x)$ is the same at all intersection points (+1 or -1). Otherwise, the basic set Λ is called *nonorientable* [2].

Everywhere below Λ is an orientable expanding attractor of codimension one of an A -diffeomorphism $f: \mathbb{M}^n \rightarrow \mathbb{M}^n$.

Diffeomorphisms $f, g: M^n \rightarrow M^n$ are called *topologically conjugate* if there is a homeomorphism $h: M^n \rightarrow M^n$ such that $h \circ f = g \circ h$. If the last equality holds for a continuous map $h: M^n \rightarrow M^n$ (which is not a homeomorphism), then the diffeomorphisms f, g are called *semiconjugate*.

A diffeomorphism f is called *structurally stable* if there exists a neighborhood in the space of diffeomorphisms $M^n \rightarrow M^n$ such that any diffeomorphism from this neighborhood is topologically conjugate to the diffeomorphism f . By virtue of the results of R. Mañé [7] and C. Robinson [9], diffeomorphism f is structurally stable if and only if it is an A -diffeomorphism and satisfies the *strong transversality condition*. The latter means that $\forall x, y \in NW(f)$ the stable manifold $W^s(x)$ of the point x and the unstable manifold $W^u(y)$ of the point y have only *transversal intersections*, that is, the sum of the tangent spaces to these invariant manifolds coincides with the tangent space to the ambient manifold at the intersection points.

For each point $x \in \Lambda$, the set $W^s(x) \setminus x$ consists of two connected components, and by virtue of [3], at least one of them has a nonempty intersection with the set Λ . Point $x \in \Lambda$ is called *boundary* if one of the connected components of the set $W^s(x) \setminus x$ does not intersect Λ . Let us denote this component by $W^{s\emptyset}(x)$.

The set of boundary points of the basic set is finite. The union of the unstable manifolds $W^u(p_1), \dots, W^u(p_{r_b})$ boundary points $p_1, \dots, p_{r_b}$ of the attractor Λ whose components $W^{s\emptyset}(p_1), \dots, W^{s\emptyset}(p_{r_b})$ belong to the same path-connected component of the set $W^s(\Lambda) \setminus \Lambda$ is called a *bunch* b of the attractor Λ . The number r_b is called the *degree of the bunch* b . According to [4] and [6], if the diffeomorphism f is given on the torus $\mathbb{T}^n$, then the attractor Λ admits only 2-bunches and the pair of boundary points included in the bunch is called *associated* (see Fig. 2).

Fig. 2. 2-bunch of a two-dimensional expanding attractor Λ on a 3-manifold.

In [6] it is proved that, if $f: \mathbb{M}^n \rightarrow \mathbb{M}^n$ ($n \geq 3$) is a structurally stable diffeomorphism whose nonwandering set $NW(f)$ contains an expanding orientable attractor Λ of codimension one, then:

- the manifold $\mathbb{M}^n$ is homotopically equivalent to the torus $\mathbb{T}^n$, and for $n \neq 4$, $\mathbb{M}^n$ is homeomorphic to $\mathbb{T}^n$ ([6, Theorem 5.1]);
- the set $NW(f) \setminus \Lambda$ consists of a finite number of isolated sources and saddles, and the union of the stable manifolds of isolated points closure and components $W^{s\emptyset}(p)$, $W^{s\emptyset}(q)$ splits into a finite number of simple arcs, each of which contains a finite nonzero number of sources, a finite (possibly zero) number of saddle points, and exactly two associated boundary points of the attractor Λ ([6, Corollary 5.2], see Fig. 3).

Note that the attractor orientability requirement can be omitted in the case $n = 3$ by [10].

For the case $n = 2$, any one-dimensional basic set of an A -diffeomorphism is either an expanding attractor or a contracting repeller. However, the statements formulated above are not true in dimension two. Namely, the ambient surface $\mathbb{M}^2$ of an A -diffeomorphism f whose nonwandering set $NW(f)$ contains an orientable attractor Λ is not necessarily a torus, such diffeomorphisms admit any orientable surfaces other than 2-sphere. In the case where $\mathbb{M}^2 = \mathbb{T}^2$, according to [4], the set $NW(f) \setminus \Lambda$ consists of a finite number of isolated periodic points, which can be located on simple arcs (see Fig. 1) or have other disposition (see Fig. 4).

Fig. 3. Associated arc L_{pq} .

Fig. 4. Isolated periodic points outside the associated arc.

2.2. Orientable expanding attractors on a 2-torus

It is known that any diffeomorphism f on a two-dimensional torus induces an automorphism of the fundamental group $f_*: \pi_1(\mathbb{T}^2) \rightarrow \pi_1(\mathbb{T}^2)$, where the group $\pi_1(\mathbb{T}^2)$ is isomorphic to the Abelian group $\mathbb{Z}^2$. Then the automorphism f_* is uniquely determined by the matrix A_f belonging to the set $GL(2, \mathbb{Z})$ of unimodular integer matrices. An automorphism f_* is called *hyperbolic* if the matrix A_f is hyperbolic, i.e., it has no eigenvalues with modulus one.

An algebraic automorphism $\hat{A}: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ of the torus $\mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$ is a diffeomorphism defined by the matrix $A = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in GL(2, \mathbb{Z})$, i.e.,

$$\hat{A}(x, y) = (\alpha x + \beta y, \gamma x + \delta y) \pmod{1}.$$

If the matrix A is hyperbolic, then $\hat{A}$ is an *Anosov diffeomorphism*, that is, the whole ambient manifold M^2 is its hyperbolic set.

Proposition 2 ([1]). *Let $f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ be a diffeomorphism and let the induced isomorphism $f_*: \pi_1(\mathbb{T}^2) \rightarrow \pi_1(\mathbb{T}^2)$ be hyperbolic. Then among the homotopic to the identity continuous maps of the torus $\mathbb{T}^2$ there is a unique map h_f that semiconjugates the diffeomorphism f with the algebraic automorphism $\hat{A}_f$ (see the diagram in Fig. 5). In this case, if f is an Anosov diffeomorphism, then h_f is a homeomorphism.*

$$\begin{array}{ccc}
 \mathbb{T}^2 & \xrightarrow{f} & \mathbb{T}^2 \\
 \downarrow h_f & & \downarrow h_f \\
 \mathbb{T}^2 & \xrightarrow{\hat{A}_f} & \mathbb{T}^2
 \end{array}$$

Fig. 5. Semi-conjugation.

Proposition 3 ([4, 5]). *If an A -diffeomorphism $f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ has an orientable basic set Λ , then the induced automorphism $f_*: \pi_1(\mathbb{T}^2) \rightarrow \pi_1(\mathbb{T}^2)$ is hyperbolic.*

Proposition 4 ([4, 5]). *If Λ is an orientable basic set of an A -diffeomorphism $f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$, then f has no orientable basic sets other than Λ .*

Let Λ be a one-dimensional orientable attractor of the diffeomorphism $f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ and $h_f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ be the semiconjugating map to the algebraic automorphism $\hat{A}_f$. Let $B_f = \{x \in \mathbb{T}^2: h_f^{-1}(x) \text{ consist of more than one point}\}$.

Proposition 5 ([4, 5]). *The image $h_f(\Lambda)$ of the set Λ is the whole torus $\mathbb{T}^2$. The set B_f is the union of a finitely many periodic points $P_f = \{p_1, p_2, \dots, p_k\}$ of the algebraic automorphism $\hat{A}_f$ and their unstable manifolds. The set $h_f^{-1}(p_i) \cap \Lambda$, $i \in \{1, 2, \dots, k\}$, consists of two boundary points p_i, q_i of the set Λ .*

Proposition 6 ([4, 5]). *Let $f, f': \mathbb{T}^2 \rightarrow \mathbb{T}^2$ be A -diffeomorphisms and Λ, Λ' be their orientable attractors, respectively. Then there is a homeomorphism $\varphi: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ for which $\varphi(\Lambda) = \Lambda'$, $f'|_{\Lambda'} = \varphi f \varphi^{-1}|_{\Lambda'}$ if and only if there is a matrix $H \in GL(2, \mathbb{Z})$ such that $H A_f = A_{f'} H$ and $\hat{H}(P_f) = P_{f'}$.*

3. Proof of conjugation criteria (proof of Theorem 1)

Consider a structurally stable diffeomorphism $f: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ from class G . We describe the properties of the diffeomorphism f , which will be used substantially in the proof of the theorem.

The nonwandering set of the diffeomorphism $f \in G$ contains a unique nontrivial basic set Λ which is a one-dimensional orientable expanding attractor. For different points $a, b \in W^s(x)$, $x \in \Lambda$ we denote by $[a, b]^s$ a compact segment of the manifold $W^s(x)$ bounded by the points a, b . Let $(a, b)^s = [a, b]^s \setminus (a \cup b)$.

The set Γ_Λ of all boundary points of the set Λ is not empty and consists of a finite number of periodic points that are split into associated pairs (p_i, q_i) , $i = 1, \dots, k_f$ of points of the same period so that the bunch $B_{p_i q_i} = W^u(p_i) \cup W^u(q_i)$ is accessible from within the boundary¹ of some connected component $G_{p_i q_i}$ of the set $\mathbb{T}^2 \setminus \Lambda$. Denote by B_Λ the set of all bunches of the basic set Λ .

Let $T(f) = NW(f) \setminus \Lambda$ and $T_{p_i q_i}(f) = T(f) \cap G_{p_i q_i}$. Closures of the stable manifolds of isolated periodic points from the set $T_{p_i q_i}(f)$ belong to simple arcs $L_{p_i q_i}$ bounded by pairs of boundary

¹Let $V \subset M$ be an open set with boundary ∂V ($\partial V = cl(V) \setminus int(V)$). A subset $\delta V \subset \partial V$ is called *accessible from within* the domain V if for any point $x \in \delta V$ there is an open arc that lies completely in V and such that x is one of its endpoints.

points p_i, q_i . For any point $x \in W^u(p_i) \setminus p_i$, there is a unique point $y \in (W^u(q_i) \cap W^s(x))$ such that the arc $(x, y)^s$ does not intersect Λ . Define a map

$$\xi_{p_i q_i}: B_{p_i q_i} \setminus \{p_i, q_i\} \rightarrow B_{p_i q_i} \setminus \{p_i, q_i\},$$

assuming $\xi_{p_i q_i}(x) = y$ and $\xi_{p_i q_i}(y) = x$. Then $\xi_{p_i q_i}(W^u(p_i) \setminus p_i) = W^u(q_i) \setminus q_i$ and $\xi_{p_i q_i}(W^u(q_i) \setminus q_i) = W^u(p_i) \setminus p_i$, i.e., the map $\xi_{p_i q_i}$ maps punctured unstable 2-bunch manifolds to each other and is an involution ($\xi_{p_i q_i}^2(x) = id$). By virtue of the theorem of continuous dependence of invariant manifolds on compact sets, the map $\xi_{p_i q_i}$ is a homeomorphism.

Denote by m_{p_i} the period of the point p_i and by m_i the period of the component $G_{p_i q_i}$. Then the restriction $f^{m_{p_i}}|_{W^u(p_i)}$ has exactly one hyperbolic repelling fixed point p_i , so there is a smooth closed segment $D_{p_i} \subset W^u(p_i)$ such that $p_i \in D_{p_i} \subset \text{int}(f^{m_{p_i}}(D_{p_i}))$. Then the set $C_{p_i q_i} = \bigcup_{x \in \partial D_{p_i}} [x, \xi_{p_i q_i}(x)]^s$ consists of two segments and the points $\xi_{p_i q_i}(\partial D_{p_i})$ bounded

in $W^u(q_i)$ segment D_{q_i} such that $q_i \in D_{q_i} \subset \text{int}(f^{m_i}(D_{q_i}))$. The set $S_{p_i q_i} = D_{p_i} \cup C_{p_i q_i} \cup D_{q_i}$ is homeomorphic to a circle. We will call $S_{p_i q_i}$ the *characteristic circle* corresponding to the bunch $B_{p_i q_i}$. Each set $S_{p_i q_i}$ bounds a two-dimensional disk $Q_{p_i q_i}$ such that the set $L_{p_i q_i}$ containing periodic points from the set $T_{p_i q_i}$ is a subset of $Q_{p_i q_i}$.

The induced isomorphism $f_*: \pi_1(\mathbb{T}^2) \rightarrow \pi_1(\mathbb{T}^2)$ is uniquely defined by the hyperbolic matrix $A_f \in GL(2, \mathbb{Z})$, and among the homotopic to identity continuous maps of the torus $\mathbb{T}^2$ there is a unique map h_f that semiconjugates the diffeomorphism f with the diffeomorphism $\hat{A}_f$. The map h_f sends the set Λ to the whole torus $\mathbb{T}^2$, and the set $B_f = \{x \in \mathbb{T}^2: h_f^{-1}(x) \text{ consisting of more than one point}\}$ consists of a finite number of periodic points $P_f = \{\varrho_1, \varrho_2, \dots, \varrho_k\}$ of the diffeomorphism $\hat{A}_f$ and their unstable manifolds. In this case, $h_f^{-1}(\varrho_i) \cap \Lambda$, $i \in \{1, 2, \dots, k\}$, consists of a pair of boundary associated points p_i, q_i of the basic set Λ and $h_f(L_{p_i q_i}) = \varrho_i$. Denote by n_{ϱ_i} the number of sources on the arc $L_{p_i q_i}$.

In addition to the diffeomorphism f , we consider the diffeomorphism $f' \in G$, whose basic set contains a one-dimensional orientable expanding attractor Λ' ; we provide strokes for all other objects considered in connection with the mapping f' .

We prove that the diffeomorphisms $f, f' \in G$ are topologically conjugate if and only if there exists a matrix $H \in GL(2, \mathbb{Z})$ such that $HA_f = A_{f'}H$, $\hat{H}(P_f) = P_{f'}$ and $n_{\varrho_i} = n_{\hat{H}(\varrho_i)}$, $i = 1, \dots, k$.

3.1. Necessity

If the diffeomorphisms $f, f' \in G$ are topologically conjugate, then there is a homeomorphism $h: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ such that $hf = f'h$. The induced isomorphism of $h_*: \pi_1(\mathbb{T}^2) \rightarrow \pi_1(\mathbb{T}^2)$ is uniquely defined by the hyperbolic matrix $H \in GL(2, \mathbb{Z})$, which by conjugation satisfies the condition $HA_f = A_{f'}H$ and consequently the condition $\hat{H}\hat{A}_f = \hat{A}_{f'}\hat{H}$.

Since h is a conjugating homeomorphism, it converts the boundary points p_i of the diffeomorphism f to the boundary points of the diffeomorphism f' . Let us say $p'_i = h(p_i)$ and $q'_i = h(q_i)$.

It follows from the semiconjugations that $h_f f = \hat{A}_f h_f$ and $h_{f'} f' = \hat{A}_{f'} h_{f'}$. Since $h_f(p_i) = \varrho_i$, it follows that $h_f(f(p_i)) = \hat{A}_f(\varrho_i)$ and hence $\hat{A}_f(P_f) = P_f$. Similarly, $h_{f'}(p'_i) = \varrho'_i$, $h_{f'}(f'(p'_i)) = \hat{A}_{f'}(\varrho'_i)$ and hence $\hat{A}_{f'}(P_{f'}) = P_{f'}$. So $\hat{H}(P_f) = P_{f'}$ and $\hat{H}(\varrho_i) = \varrho'_i$.

Since h is a conjugating homeomorphism, the number n_{ϱ_i} of sources on the arc $L_{p_i q_i}$ coincides with the number $n_{\varrho'_i}$ of sources on the arc $L_{p'_i q'_i}$, where $n_{\varrho_i} = n_{\hat{H}(\varrho_i)}$, $i = 1, \dots, k$.

3.2. Sufficiency

Let $f, f' \in G$ and suppose there exists a matrix $H \in GL(2, \mathbb{Z})$ such that $HA_f = A_{f'}H$, $\widehat{H}(P_f) = P_{f'}$ and $n_{\varrho_i} = n_{\widehat{H}(\varrho_i)}$, $i = 1, \dots, k$. Let us construct a homeomorphism $\varphi: \mathbb{T}^2 \rightarrow \mathbb{T}^2$ conjugating the diffeomorphisms f and f' . We will construct a conjugation step by step.

3.2.1. Conjugation on nontrivial basic sets

Semiconjugation h_f maps the attractor Λ to the hole torus $\mathbb{T}^2$, with $h_f(L_{p_i q_i}) = \varrho_i$. A similar action is performed by semiconjugating $h_{f'}$ with the attractor Λ' . Moreover, the maps $q_f = h_f|_{\Lambda \setminus B_\Lambda}: \Lambda \setminus B_\Lambda \rightarrow \mathbb{T}^2 \setminus W^u(P_f)$, $q_{f'} = h_{f'}|_{\Lambda' \setminus B_{\Lambda'}}: \Lambda' \setminus B_{\Lambda'} \rightarrow \mathbb{T}^2 \setminus W^u(P_{f'})$ are homeomorphisms. By condition $\widehat{H}(P_f) = P_{f'}$, this means that the map

$$\varphi = q_{f'}^{-1} \widehat{H} q_f: \Lambda \setminus B_\Lambda \rightarrow \Lambda' \setminus B_{\Lambda'}$$

is a homeomorphism conjugating f and f' by [4] (see also [5, pp. 224–225]).

We show how to continue φ to the set B_Λ . For the boundary point $p \in \Gamma_\Lambda$ ($p' \in \Gamma_{\Lambda'}$) denote by $W^{s\infty}(p)$ ($W^{s\infty}(p')$) the stable separatrix of the point p (p') that has a nonempty intersection with Λ (Λ'). From the fact that φ is a conjugating homeomorphism, it follows that $\varphi(W^{s\infty}(\Gamma_\Lambda)) = W^{s\infty}(\Gamma_{\Lambda'})$. Thus, the homeomorphism φ is uniquely extended to the set Γ_Λ . Since $h_f(W^{s,\infty}(p_i) \cup W^{s,\infty}(q_i)) = W^s(\varrho_i) \setminus \varrho_i$ for paired points p_i, q_i and $\widehat{H}(\varrho_i) = \varrho'_i$, it follows that $p'_i = \varphi(p_i)$, $q'_i = \varphi(q_i)$ are paired points such that $\varrho'_i = h_{f'}(L_{p'_i q'_i})$.

Since for any point $x \in W^u(\Gamma_\Lambda)$ there is such a sequence of points $x_n \in W^{s\infty}(x) \cap (\Lambda \setminus B_\Lambda)$ for which x is a limit point, then the homeomorphism φ can be continued to the set $W^u(\Gamma_\Lambda)$ by the formula $x' = \varphi(x)$, where x' is the limit point of the sequence of points $\varphi(x_n)$.

An exact proof of the fact that the map φ is a homeomorphism conjugating the basic sets Λ and Λ' of f and f' , respectively, at all points of the above-mentioned sets, is given in [4] (see also [5, pp. 224–225]). Thus, to prove Theorem 1, it is sufficient to continue the map φ to the set $\mathbb{T}^2 \setminus \Lambda$.

3.2.2. Conjugation on trivial basic sets

For each associated pair of boundary points (p_i, q_i) there is a natural number n_{ϱ_i} such that the set of isolated periodic points $T_{p_i q_i}$ consists of exactly n_{ϱ_i} periodic sources $\alpha_1^i, \dots, \alpha_{n_{\varrho_i}}^i$ and $n_{\varrho_i} - 1$ periodic saddle points $r_1^i, \dots, r_{n_{\varrho_i}-1}^i$, alternating with sources on a simple arc

$$L_{p_i q_i} = W^{s\infty}(p_i) \cup \bigcup_{j=1}^{n_{\varrho_i}-1} W^s(r_j^i) \cup \bigcup_{j=1}^{n_{\varrho_i}} \alpha_j^i \cup W^{s\infty}(q_i) \text{ from the point } p_i \text{ to the point } q_i \text{ (see Fig. 1).}$$

Similarly, by primes we denote the periodic points of the arc $L_{p'_i q'_i}$. Since $n_{\varrho_i} = n_{\varrho'_i}$, the equality $\varphi_i(\alpha_j^i) = \alpha_j^{i'}$, $\varphi_i(r_j^i) = r_j^{i'}$ defines a homeomorphism $\varphi_i: T_{p_i q_i} \rightarrow T_{p'_i q'_i}$. Denote by $\varphi_T: T(f) \rightarrow T(f')$ a homeomorphism composed by $\varphi_1, \dots, \varphi_k$.

We show that φ_T conjugates the diffeomorphisms $f|_{T(f)}$ and $f'|_{T(f')}$.

Since the diffeomorphism $\widehat{H}$ conjugates the diffeomorphisms $\widehat{A}_f$, $\widehat{A}_{f'}$ and $\widehat{H}(\varrho_i) = \varrho'_i$, the periods of the points ϱ_i and ϱ'_i are m_i , where m_i is the period of the connectivity component $G_{p_i q_i}$ ($G_{p'_i q'_i}$) of the diffeomorphism f (f'). Since $h_f(L_{p_i q_i}) = \varrho_i$ and $h_{f'}(L_{p'_i q'_i}) = \varrho'_i$, the arcs $L_{p_i q_i}$ and $L_{p'_i q'_i}$ also have a period m_i . In this case, the diffeomorphism $f^{m_i}|_{L_{p_i q_i}}$ preserves (changes) the orientation if the eigenvalue λ of the matrix A_f is positive (negative). Similarly, for the diffeomorphism $f'^{m_i}|_{L_{p'_i q'_i}}$. Thus, if $\lambda > 0$, then all periodic points of the diffeomorphism $f^{m_i}|_{L_{p_i q_i}}$

are fixed; otherwise, there is exactly one fixed point, and all the others have a period of two. By construction, $\varphi_T(L_{p_i q_i} \cap T(f)) = L_{\varphi(p_i) \varphi(q_i)} \cap T(f')$ and the homeomorphism φ conjugates $f|_{\Gamma_\Lambda}$ and $f'|_{\Gamma_{\Lambda'}}$, hence the homeomorphism φ_T conjugates the diffeomorphisms $f|_{T(f)}$ and $f'|_{T(f')}$.

The homeomorphism φ_T naturally continues to unstable manifolds of isolated saddle points. Exactly, let $z \in W^u(r_j^i) \setminus r_j^i$. Then there is a unique point $x_z \in W^u(p_i) \setminus p_i$ such that $z = [x_z, \xi_{p_i q_i}(x_z)]^s \cap W^u(r_j^i)$. The same is true for the diffeomorphism f' . Let

$$\varphi_T(z) = z' = [x'_z, \xi_{p'_i q'_i}(x'_z)]^s \cap W^u(r_j^{i'})$$

and $\varphi_T f^{m_i}(z) = f^{m_i} \varphi_T(z)$ if $\lambda > 0$; $\varphi_T f^{2m_i}(z) = f^{2m_i} \varphi_T(z)$ if $\lambda < 0$.

3.2.3. Conjugation in source basins

Consider the source α_j^i and put for convenience $p_i = r_0^i$, $q_i = r_{n_{e_i}}^i$. On the stable separatrices ℓ_{j-1}^i , ℓ_j^i of the saddles r_{j-1}^i , r_j^i lying in the basin of α_j^i , we select points y_{j-1}^i , y_j^i and arcs v_{j-1}^i , v_j^i transversally intersecting the separatrices at these points. By λ -lemma, we can draw these arcs so that each segment $[x, \xi_{p_i q_i}(x)]^s$, $x \in (D_{p_i} \setminus p_i)$ transversally intersects each of the arcs v_{j-1}^i , v_j^i at a unique point. Denote by Q_j^i the closure of the connected component of the set $Q_{p_i q_i} \setminus (v_{j-1}^i \cup v_j^i)$ containing α_j^i . Let $\phi = f^{m_i}$.

Let x_1 , x_2 denote the boundary points of the segment D_{p_i} . Let $\xi_{p_i q_i}(x_1) = x_4 \in W^u(q_i)$ and $\xi_{p_i q_i}(x_2) = x_3 \in W^u(q_i)$. So $(x_2, x_3)^s \cap \Lambda = \emptyset$, $(x_1, x_4)^s \cap \Lambda = \emptyset$, and both arcs intersect the manifold $W^u(\alpha_j^i)$. Let $A = (x_1, x_4)^s \cap v_{j-1}^i$, $B = (x_2, x_3)^s \cap v_{j-1}^i$, $C = (x_2, x_3)^s \cap v_j^i$, $D = (x_1, x_4)^s \cap v_j^i$. Then the closed curve $L_j^i = ABCD$ is the boundary of the domain Q_j^i . Let $E = \phi(A)$, $F = \phi(B)$, $G = \phi(C)$, $H = \phi(D)$ (Figure 6 shows a case in which $\phi(p_i) = p_i$, in the case of $\phi(p_i) = q_i$ the reasoning is repeated verbatim). Then the closed curve $\phi(L_j^i) = EFGH$ is the boundary of the domain $\phi(Q_j^i)$. Let $K_j^i = cl(\phi(Q_j^i) \setminus Q_j^i)$. It is obvious that the annulus K_j^i is a fundamental domain of the diffeomorphism ϕ restricted to $W^u(\alpha_j^i) \setminus \alpha_j^i$.

Fig. 6. Fundamental domain of the diffeomorphism f .

Let us add a prime to similar objects for the diffeomorphism f' , assuming $p'_i = \varphi(p_i)$, $q'_i = \varphi(q_i)$, $x'_i = \varphi(x_i)$. By construction, for any point $v \in (AB \setminus W^s(r_{j-1}^i))$ there is a unique point $x_v \in (W^u(p_i) \setminus p_i)$ such that $v = (x_v, \xi_{p_i q_i}(x_v))^s \cap AB$. Define the homeomorphism $\varphi_{AB}: AB \rightarrow A'b'$ by the formula $\varphi_{AB}(v) = v' \in A'b'$, where $v' = (x'_v, \xi_{p'_i q'_i}(x'_v))^s \cap a'b'$ and $\varphi_{AB}(AB \cap W^s(r_{j-1}^i)) = A'B' \cap W^s(r_{j-1}^i)$ (see Fig. 7). Similarly, we construct the homeomorphism $\varphi_{CD}: CD \rightarrow C'D'$. Finally, we compose a homeomorphism $\varphi_{L_j^i}: L_j^i \rightarrow L_j^i$. Let $\varphi_{\phi(L_j^i)} = \phi \varphi_{L_j^i} \phi^{-1}: \phi(L_j^i) \rightarrow \phi'(L_j^i)$. In the same way, we construct homeomorphisms $\varphi_{AE}: AE \rightarrow A'E'$, $\varphi_{BF}: BF \rightarrow B'f'$, $\varphi_{CG}: CG \rightarrow C'G'$ and $\varphi_{DH}: DH \rightarrow D'H'$, where all segments are chosen transversally to the foliation $W^s(\Lambda)$. Denote by φ_{∂} the union of the constructed homeomorphisms. Let $x' = \varphi_{\partial}(x)$.

Fig. 7. Conjugation on AB and CD .

Consider the quadrilaterals $AEFB$, $BFGC$, $CGHD$, $DHEA$ obtained by dividing the annulus K_j^i into segments AE , BF , CG , DH . We foliate each of them by segments of stable manifolds W_x^s . The set of the segments thus obtained is denoted by $\mathcal{W}$. Then we foliate each of the quadrilaterals by segments that are transversal to the segments from $\mathcal{W}$, the set of which we denote by $\mathcal{R}$ (see Fig. 8).

Fig. 8. Foliation on the fundamental domain K_j^i .

Consider an annulus K_j^i that is similarly divided into four quadrilaterals. We foliate each quadrilateral of this annulus by segments as follows: if there is a segment from the set $\mathcal{R}$ with boundary points x and y , then there is a segment from the set $\mathcal{R}'$ with boundary points $x' = \varphi_\partial(x)$, $y' = \varphi_\partial(y)$. Then on each quadrilateral of the annulus K_j^i homeomorphism φ_∂ induces a map $\varphi_{\mathcal{W}}: \mathcal{W} \rightarrow \mathcal{W}'$, $\varphi_{\mathcal{R}}: \mathcal{R} \rightarrow \mathcal{R}'$. Then a homeomorphism φ_{K_j} of the annulus $K_j = ABCDEFGH$ is defined by the following rule: if z is the intersection point of segments $\mathbf{w} \in \mathcal{W}$ and $\mathbf{r} \in \mathcal{R}$, then $\varphi_{K_j}(z)$ is the intersection point of segments $\varphi_{\mathcal{W}}(\mathbf{w})$ and $\varphi_{\mathcal{R}}(\mathbf{r})$. The equality $\varphi_{W^u(\alpha_j^i)} = \phi^{-k}(\varphi_{K_j} \phi^k(z))$, where $z \in K_j$, $k \in \mathbb{Z}$, defines the required homeomorphism $\varphi_{W^u(\alpha_j^i)}: W^u(\alpha_j^i) \rightarrow W^u(\alpha_j^i)$.

Conflict of Interest

The authors declare that they have no conflict of interest.

References

- [1] Franks, J., Anosov Diffeomorphisms, in *Global Analysis: Proc. Sympos. Pure Math. (Berkeley, Calif., 1968)*: Vol. 14, Providence, R.I.: AMS, 1970, pp. 61–93.
- [2] Grines, V. Z., The Topological Equivalence of One-Dimensional Basic Sets of Diffeomorphisms on Two-Dimensional Manifolds, *Uspekhi Mat. Nauk*, 1974, vol. 29, no. 6(180), pp. 163–164 (Russian).

- [3] Grines, V. Z., The Topological Conjugacy of Diffeomorphisms of a Two-Dimensional Manifold on One-Dimensional Orientable Basic Sets: 1, *Trudy Moskov. Mat. Obsc.*, 1975, vol. 32, pp. 35–60 (Russian).
- [4] Grines, V. Z., The Topological Conjugacy of Diffeomorphisms of a Two-Dimensional Manifold on One-Dimensional Orientable Basic Sets: 2, *Trudy Moskov. Mat. Obsc.*, 1977, vol. 34, pp. 243–252 (Russian).
- [5] Grines, V., Medvedev, T., and Pochinka, O., *Dynamical Systems on 2- and 3-Manifolds*, Dev. Math., vol. 46, New York: Springer, 2016.
- [6] Grines, V. Z. and Zhuzhoma, E. V., Structurally Stable Diffeomorphisms with Basic Sets of Codimension One, *Izv. Math.*, 2002, vol. 66, no. 2, pp. 223–284; see also: *Izv. Ross. Akad. Nauk Ser. Mat.*, 2002, vol. 66, no. 2, pp. 3–66.
- [7] Mañé, R., A Proof of the C^1 Stability Conjecture, *Publ. Math. Inst. Hautes Études Sci.*, 1987, vol. 66, pp. 161–210.
- [8] Plykin, R. V., The Topology of Basic Sets of Smale Diffeomorphisms, *Sb. Math.*, 1971, vol. 13, no. 2, pp. 297–307; see also: *Mat. Sb. (N. S.)*, 1971, vol. 84(126), no. 2, pp. 301–312.
- [9] Robinson, C., Structural Stability of C^1 Diffeomorphisms, *J. Differential Equations*, 1976, vol. 22, no. 1, pp. 28–73.
- [10] Zhuzhoma, E. V. and Medvedev, V. S., On Nonorientable Two-Dimensional Basic Sets on 3-Manifolds, *Sb. Math.*, 2002, vol. 193, nos. 5–6, pp. 869–888; see also: *Mat. Sb.*, 2002, vol. 193, no. 6, pp. 83–104.